

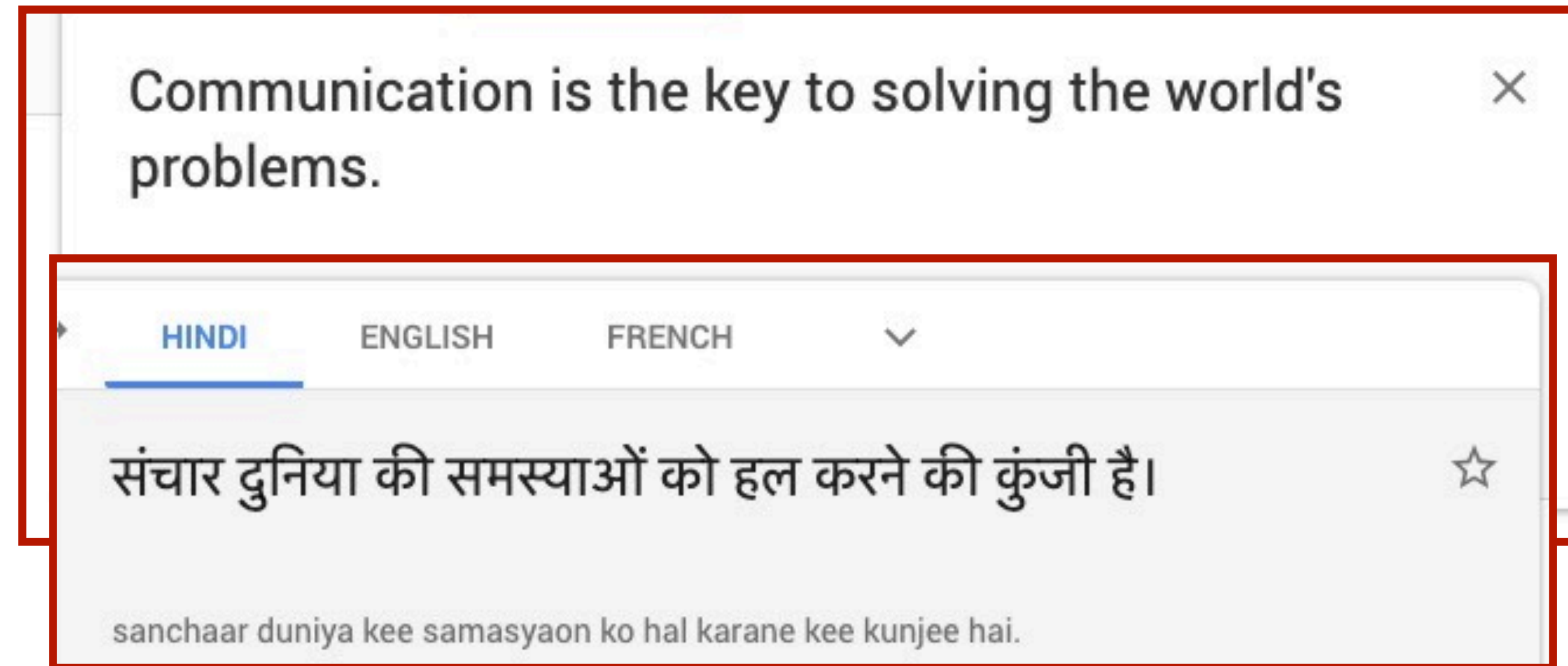


COS 484

# LI 2: Machine Translation

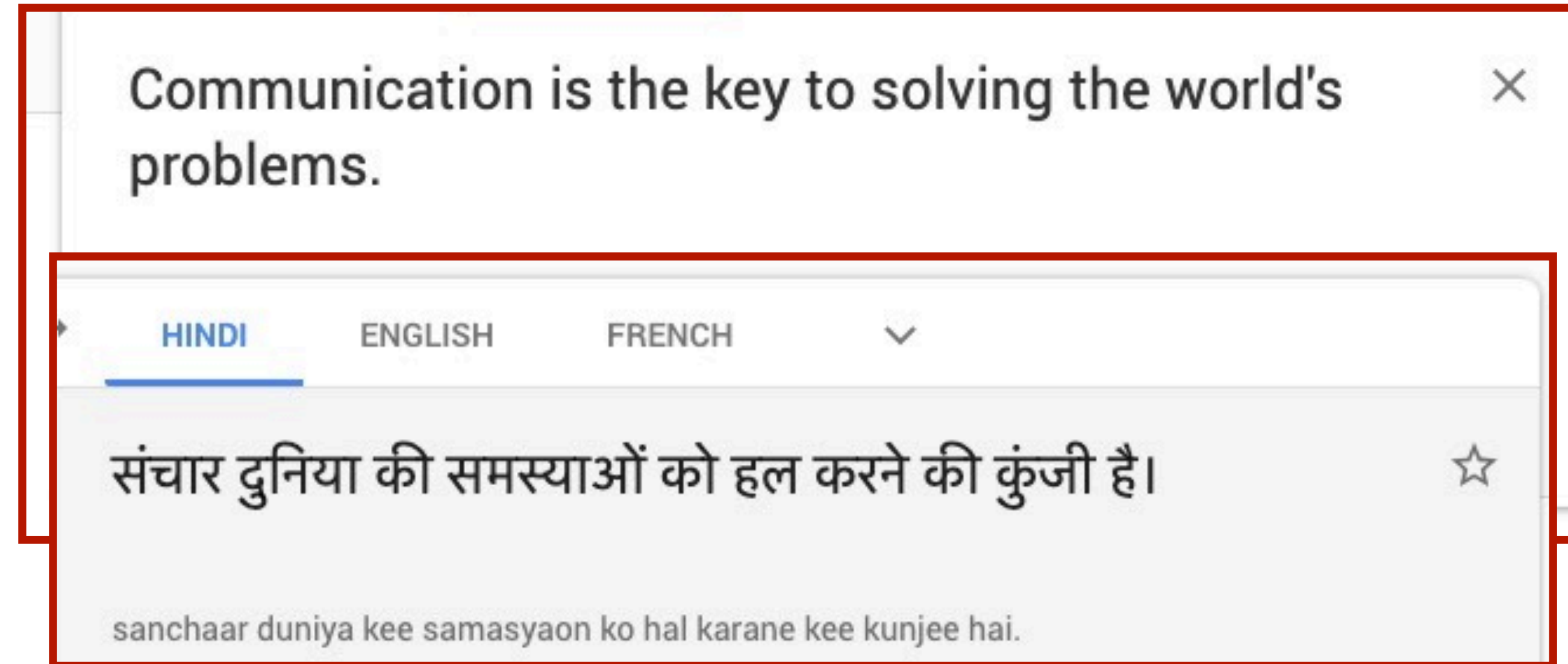
Spring 2022

# Translation



- One of the “holy grail” problems in artificial intelligence
- Practical use case: Facilitate communication between people in the world
- Extremely challenging (especially for low-resource languages)

# Translation



How many languages do you speak?

- A) 1
- B) 2
- C) 3
- D) 4+

# Some translations

- Easy:
  - I like apples ↔ ich mag Äpfel (German)
- Not so easy:
  - I like apples ↔ J'aime les pommes (French)
  - I like red apples ↔ J'aime les pommes rouges (French)
  - *les* ↔ *the*    but    *les pommes* ↔ *apples*

# Basics of machine translation

- **Goal:** Translate a sentence  $\mathbf{w}^{(s)}$  in a **source language (input)** to a sentence in the **target language (output)**
- Can be formulated as an optimization problem:
  - **Most likely translation**,  $\hat{\mathbf{w}}^{(t)} = \arg \max_{\mathbf{w}^{(t)}} \psi (\mathbf{w}^{(s)}, \mathbf{w}^{(t)})$
  - where  $\psi$  is a scoring function over source and target sentences
- Requires **two** components:
  - *Learning algorithm* to compute parameters of scoring fn.  $\psi$
  - *Decoding algorithm* for computing the best translation  $\hat{\mathbf{w}}^{(t)}$



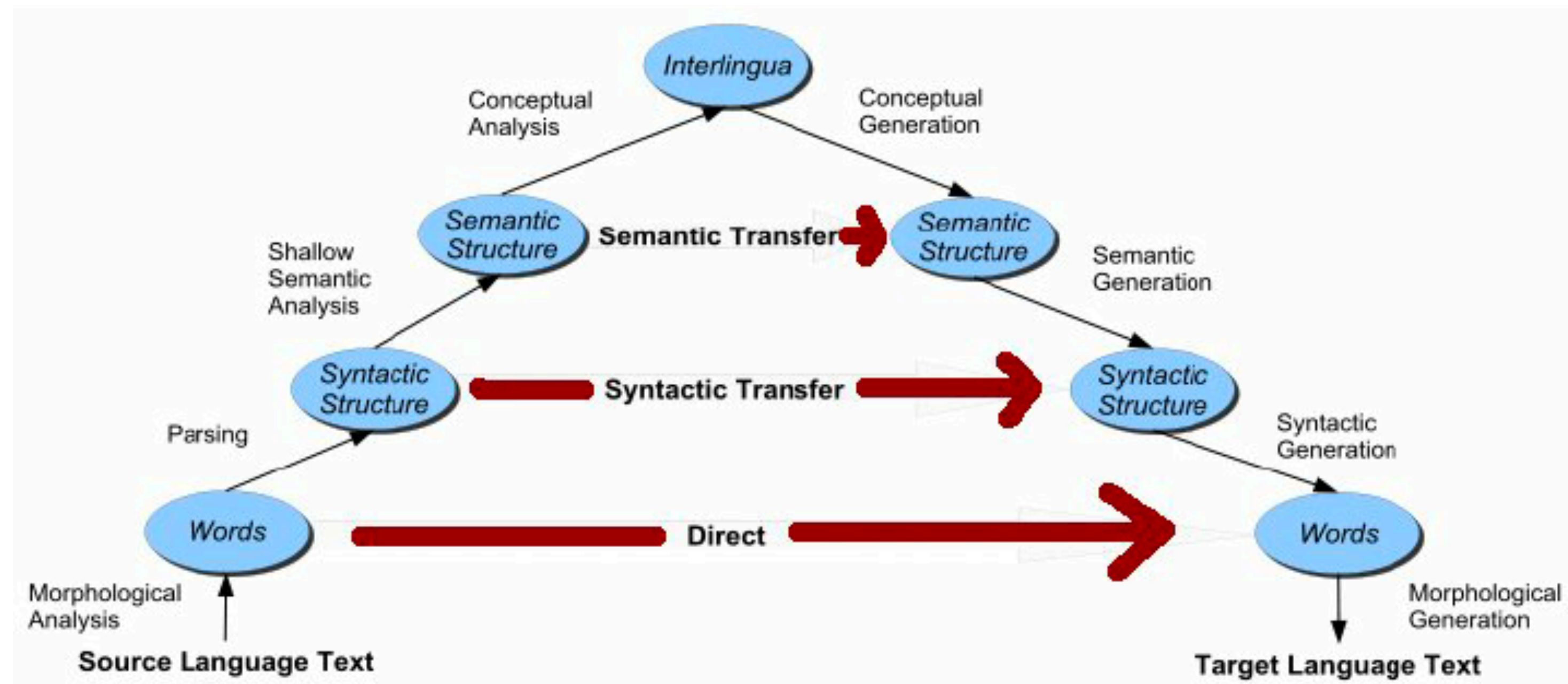
# Why is MT challenging?

- Single words may be replaced with multi-word phrases
  - I like **apples**  $\leftrightarrow$  J'aime **les pommes**
- Reordering of phrases
  - I like **red apples**  $\leftrightarrow$  J'aime **les pommes rouges**
- Contextual dependence
  - *les*  $\leftrightarrow$  *the*    but    *les pommes*  $\leftrightarrow$  *apples*

Extremely large output space  $\implies$  Decoding is NP-hard



# Vauquois Pyramid



- Hierarchy of concepts and distances between them in different languages
- Lowest level: individual words/characters
- Higher levels: syntax, semantics
- Interlingua: Generic language-agnostic representation of meaning

# Evaluating machine translation



- Two main criteria:
  - **Adequacy**: Translation  $\mathbf{w}^{(t)}$  should adequately reflect the linguistic content of  $\mathbf{w}^{(s)}$
  - **Fluency**: Translation  $\mathbf{w}^{(t)}$  should be fluent text in the target language

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*To Vinay it like Python*  
*Vinay debugs memory leaks*  
*Vinay likes Python*

---

Different translations of "A Vinay le gusta Python"

Which of these translations is both adequate and fluent?

- A) first
- B) second
- C) third
- D) none of them



# Evaluating machine translation



- Two main criteria:
- **Adequacy**: Translation  $\mathbf{w}^{(t)}$  should adequately reflect the linguistic content of  $w^{(s)}$
- **Fluency**: Translation  $\mathbf{w}^{(t)}$  should be fluent text in the target language

	Adequate?	Fluent?
<i>To Vinay it like Python</i>	yes	no
<i>Vinay debugs memory leaks</i>	no	yes
<i>Vinay likes Python</i>	yes	yes

Different translations of "A Vinay le gusta Python"

Which of these translations is both adequate and fluent?

A) first

B) second

C) third

D) none of them

# Evaluation metrics

- Manual evaluation: ask a native speaker to verify the translation
  - Most accurate, but expensive
- Automated evaluation metrics:
  - Compare system hypothesis with reference translations
  - BiLingual Evaluation Understudy (BLEU) (Papineni et al., 2002):
    - Modified n-gram precision

$$p_n = \frac{\text{number of } n\text{-grams appearing in both reference and hypothesis translations}}{\text{number of } n\text{-grams appearing in the hypothesis translation}}$$

Reference translation

System predictions

# BLEU

$$\text{BLEU} = \exp \frac{1}{N} \sum_{n=1}^N \log p_n$$

$$p_n = \frac{\text{number of } n\text{-grams appearing in both reference and hypothesis translations}}{\text{number of } n\text{-grams appearing in the hypothesis translation}}$$

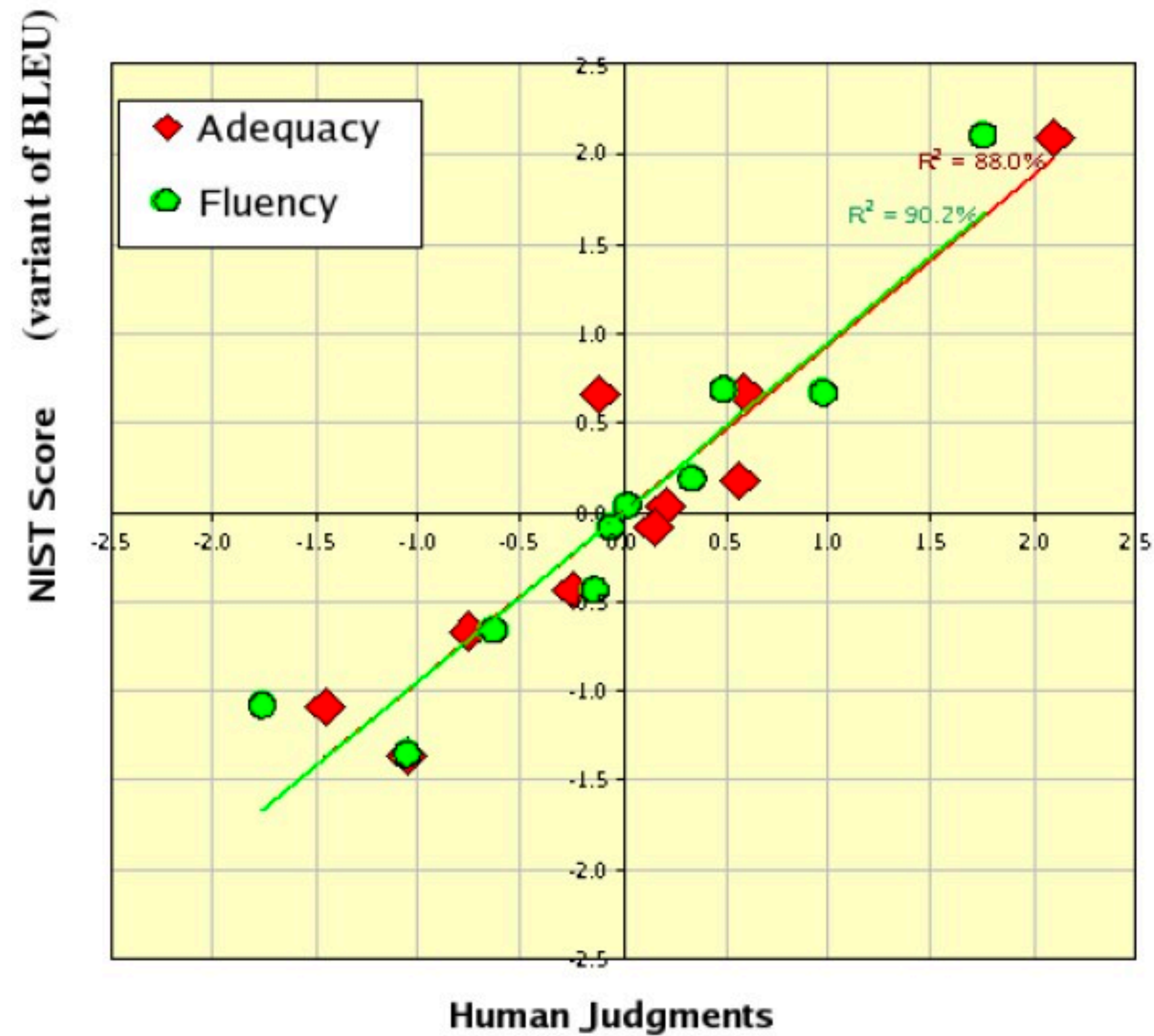
- To avoid  $\log 0$ , all precisions are smoothed
- Each  $n$ -gram in reference can be used at most once
  - Ex. **Hypothesis**: *to to to to to* vs **Reference**: *to be or not to be* should not get a unigram precision of 1
- BLEU-k: average of BLEU scores computed using 1-gram through  $k$ -gram.

*Problem: Precision-based metrics favor short translations*

- Solution: Multiply score with a brevity penalty for translations shorter than reference,  $e^{1-r/h}$

# BLEU

- Correlates with human judgements



(G. Doddington, NIST)



# BLEU scores



BP: brevity penalty

	Translation	$p_1$	$p_2$	$p_3$	$p_4$	BP
Reference	<i>Vinay likes programming in Python</i>					
Sys1	<i>To Vinay it like to program Python</i>	$\frac{2}{7}$	0	0	0	1
Sys2	<i>Vinay likes Python</i>	$\frac{3}{3}$	$\frac{1}{2}$	0	0	.51
Sys3	<i>Vinay likes programming in his pajamas</i>	$\frac{4}{6}$	$\frac{3}{5}$	$\frac{2}{4}$	$\frac{1}{3}$	1

Sample BLEU scores for various system outputs

- Alternatives have been proposed:
  - METEOR: weighted F-measure
  - Translation Error Rate (TER): Edit distance between hypothesis and reference

Which of these translations do you think will have the highest BLEU-4 score?

A) sys1

B) sys2

C) sys3

# Data

- Statistical MT relies requires **parallel corpora (bilingual)**

1. Chapter 4, Koch (DE)	de	es
<b>context</b> We would like to ensure that there is a reference to this <b>as early as the recitals</b> and that the period within which the Council has to make a decision - which is not clearly worded - is set at a maximum of three months .	Wir möchten sicherstellen , daß hierauf bereits in den Erwägungsgründen hingewiesen wird und die uneindeutig formulierte Frist , innerhalb der der Rat eine Entscheidung treffen muß , auf maximal drei Monate fixiert wird .	Quisiéramos asegurar que se aluda ya a esto en los considerandos y que el plazo , imprecisamente formulado , dentro del cual el Consejo ha de adoptar una decisión , se fije en tres meses como máximo .
2. Chapter 3, Färm (SV)	de	es
<b>context</b> Our experience of modern administration tells us that openness , decentralisation of responsibility and qualified evaluation are often <b>as effective as detailed bureaucratic supervision</b> .	Unsere Erfahrungen mit moderner Verwaltung besagen , daß Transparenz , Dezentralisation der Verantwortlichkeiten und eine qualifizierte Auswertung oft ebenso effektiv sind wie bürokratische Detailkontrolle .	Nuestras experiencias en materia de administración moderna nos señalan que la apertura , la descentralización de las responsabilidades y las evaluaciones bien hechas son a menudo tan eficaces como los controles burocráticos detallados .

*(Europarl, Koehn, 2005)*

- And lots of it!
- Not easily available for many low-resource languages in the world



# Statistical MT

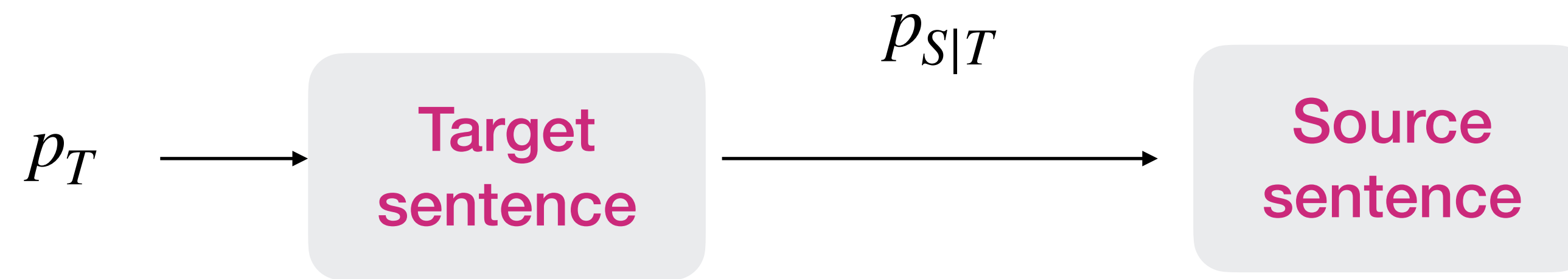
$$\hat{\mathbf{w}}^{(t)} = \arg \max_{\mathbf{w}^{(t)}} \psi (\mathbf{w}^{(s)}, \mathbf{w}^{(t)})$$

- We can break down the scoring function  $\psi$  as:

$$\psi (\mathbf{w}^{(s)}, \mathbf{w}^{(t)}) = \underbrace{\psi_A (\mathbf{w}^{(s)}, \mathbf{w}^{(t)})}_{(adequacy)} + \underbrace{\psi_F (\mathbf{w}^{(t)})}_{(fluency)}$$

- Allows us to estimate parameters of  $\psi$  on separate data
  - $\psi_A$  from aligned bilingual corpora
  - $\psi_F$  from monolingual corpora

# Noisy channel model



$$\Psi_A(\mathbf{w}^{(s)}, \mathbf{w}^{(t)}) \triangleq \log p_{S|T}(\mathbf{w}^{(s)} | \mathbf{w}^{(t)}) \quad (\text{adequacy})$$

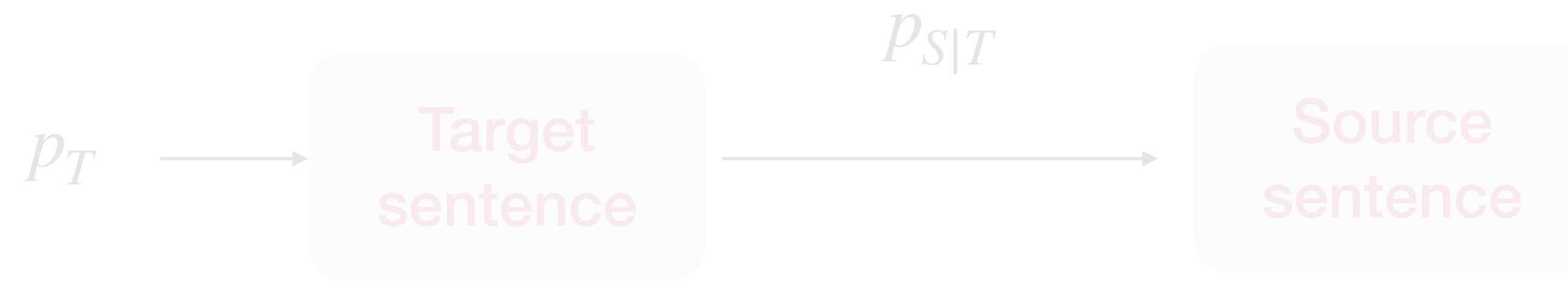
$$\Psi_F(\mathbf{w}^{(t)}) \triangleq \log p_T(\mathbf{w}^{(t)}) \quad (\text{fluency})$$

$$\Psi(\mathbf{w}^{(s)}, \mathbf{w}^{(t)}) = \log p_{S|T}(\mathbf{w}^{(s)} | \mathbf{w}^{(t)}) + \log p_T(\mathbf{w}^{(t)}) = \log p_{S,T}(\mathbf{w}^{(s)}, \mathbf{w}^{(t)}). \quad (\text{overall})$$

- Generative process for source sentence
- Use Bayes rule to recover  $\mathbf{w}^{(t)}$  that is maximally likely under the conditional distribution  $p_{T|S}$  (which is what we want)

$$\arg \max_T p_{T|S} = \arg \max_T \frac{p_T p_{S|T}}{p_S}$$

# Noisy channel model



$$\Psi_A(\mathbf{w}^{(s)}, \mathbf{w}^{(t)}) \triangleq \log p_{S|T}(\mathbf{w}^{(s)} | \mathbf{w}^{(t)})$$

$$\Psi_F(\mathbf{w}^{(t)}) \triangleq \log p_T(\mathbf{w}^{(t)})$$

$$\Psi(\mathbf{w}^{(s)}, \mathbf{w}^{(t)}) = \log p_{S|T}(\mathbf{w}^{(s)} | \mathbf{w}^{(t)}) + \log p_T(\mathbf{w}^{(t)}) = \log p_{S,T}(\mathbf{w}^{(s)}, \mathbf{w}^{(t)}).$$

Allows us to use a standalone language model  $p_T$  to improve fluency

- Use Bayes rule to recover  $\mathbf{w}^{(t)}$  that is maximally likely under the conditional distribution  $p_{T|S}$  (which is what we want)

# IBM Models

- Early approaches to statistical MT
- *Key questions:*
  - How do we define the translation model  $p_{S|T}$  ?
  - How can we estimate the parameters of the translation model from parallel training examples?
- Make use of the idea of **alignments**

# Alignments

How should we align words in source to words in target?

	<i>A</i>	<i>Vinay</i>	<i>le</i>	<i>gusta</i>	<i>python</i>
Vinay					
likes					
python					

good  $\mathcal{A}(\mathbf{w}^{(s)}, \mathbf{w}^{(t)}) = \{(A, \emptyset), (Vinay, Vinay), (le, likes), (gusta, likes), (Python, Python)\}.$

bad  $\mathcal{A}(\mathbf{w}^{(s)}, \mathbf{w}^{(t)}) = \{(A, Vinay), (Vinay, likes), (le, Python), (gusta, \emptyset), (Python, \emptyset)\}.$

# Incorporating alignments

- Let us define the joint probability of alignment and translation as:

$$\begin{aligned} p(\mathbf{w}^{(s)}, \mathcal{A} \mid \mathbf{w}^{(t)}) &= \prod_{m=1}^{M^{(s)}} p(w_m^{(s)}, a_m \mid w_{a_m}^{(t)}, m, M^{(s)}, M^{(t)}) \\ &= \prod_{m=1}^{M^{(s)}} p(a_m \mid m, M^{(s)}, M^{(t)}) \times p(w_m^{(s)} \mid w_{a_m}^{(t)}). \end{aligned}$$

- $M^{(s)}, M^{(t)}$  are the number of words in source and target sentences
- $a_m$  is the alignment of the  $m^{th}$  word in the source sentence
  - i.e. it specifies that the  $m^{th}$  word in source is aligned to the  $a_m^{th}$  word in target
- Translation probability for word in source to be a translation of its alignment word



# Independence assumptions

$$\begin{aligned} p(\mathbf{w}^{(s)}, \mathcal{A} \mid \mathbf{w}^{(t)}) &= \prod_{m=1}^{M^{(s)}} p(w_m^{(s)}, a_m \mid w_{a_m}^{(t)}, m, M^{(s)}, M^{(t)}) \\ &= \prod_{m=1}^{M^{(s)}} p(a_m \mid m, M^{(s)}, M^{(t)}) \times p(w_m^{(s)} \mid w_{a_m}^{(t)}). \end{aligned}$$

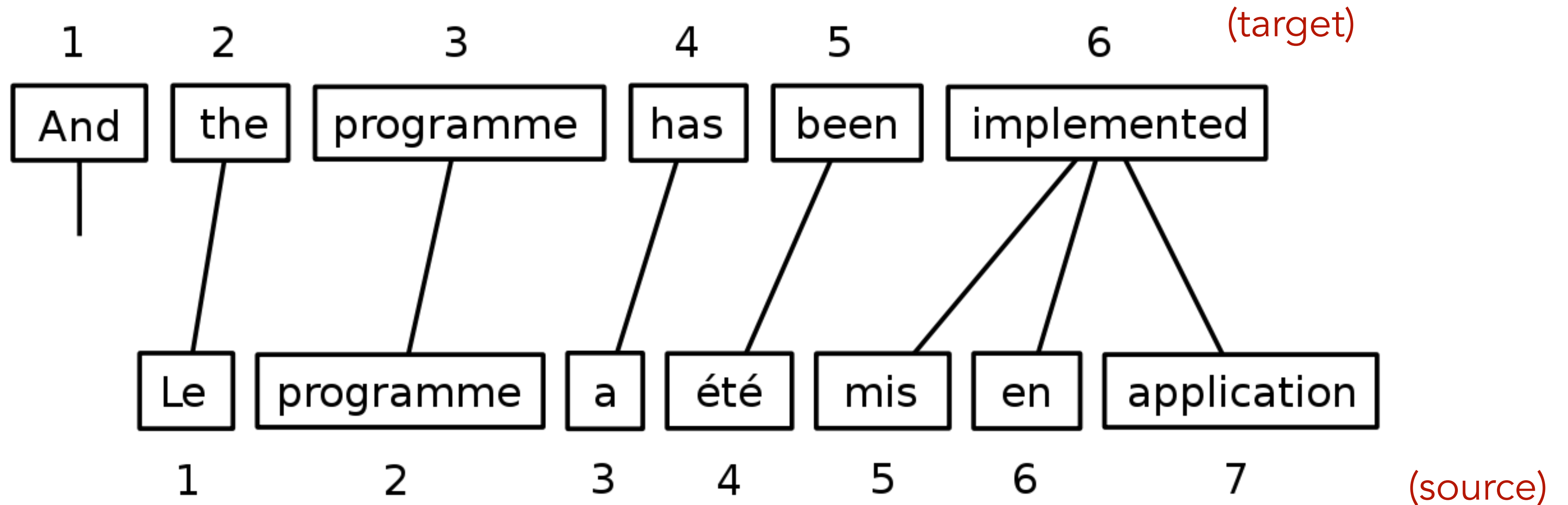
- Two independence assumptions:
- Alignment probability factors across tokens:

$$p(\mathcal{A} \mid \mathbf{w}^{(s)}, \mathbf{w}^{(t)}) = \prod_{m=1}^{M^{(s)}} p(a_m \mid m, M^{(s)}, M^{(t)}).$$

- Translation probability factors across tokens:

$$p(\mathbf{w}^{(s)} \mid \mathbf{w}^{(t)}, \mathcal{A}) = \prod_{m=1}^{M^{(s)}} p(w_m^{(s)} \mid w_{a_m}^{(t)}),$$

# Limitations

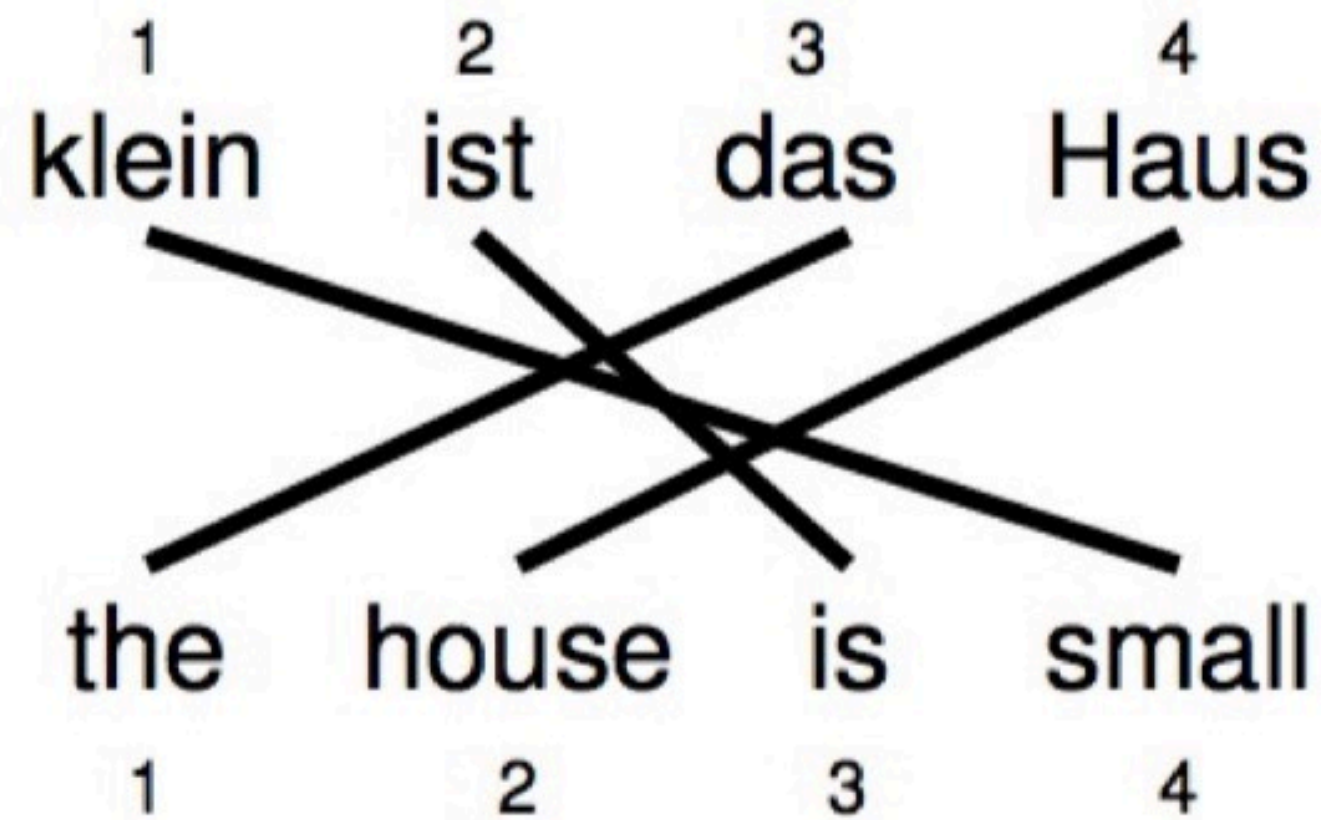


$$a_1 = 2, a_2 = 3, a_3 = 4, \dots$$

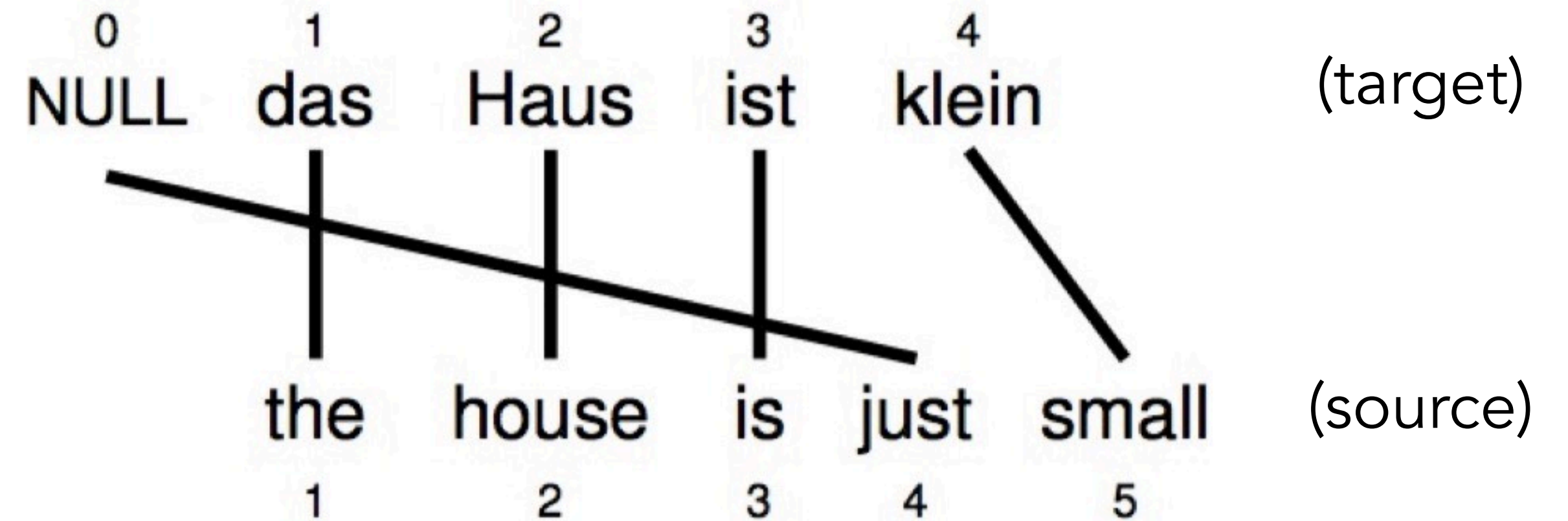
*Multiple source words may align to the same target word!*

*Or a source word may not have any corresponding target.*

# Reordering and word insertion



$$\mathbf{a} = (3, 4, 2, 1)^\top$$



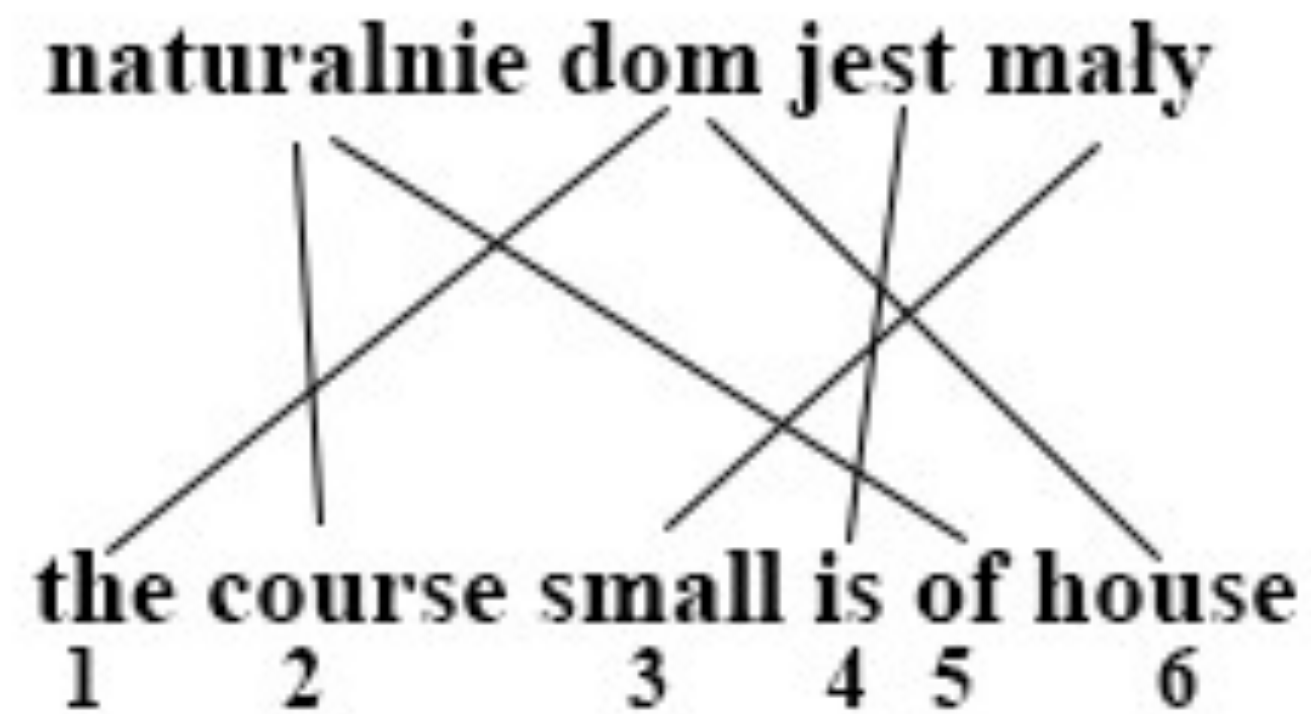
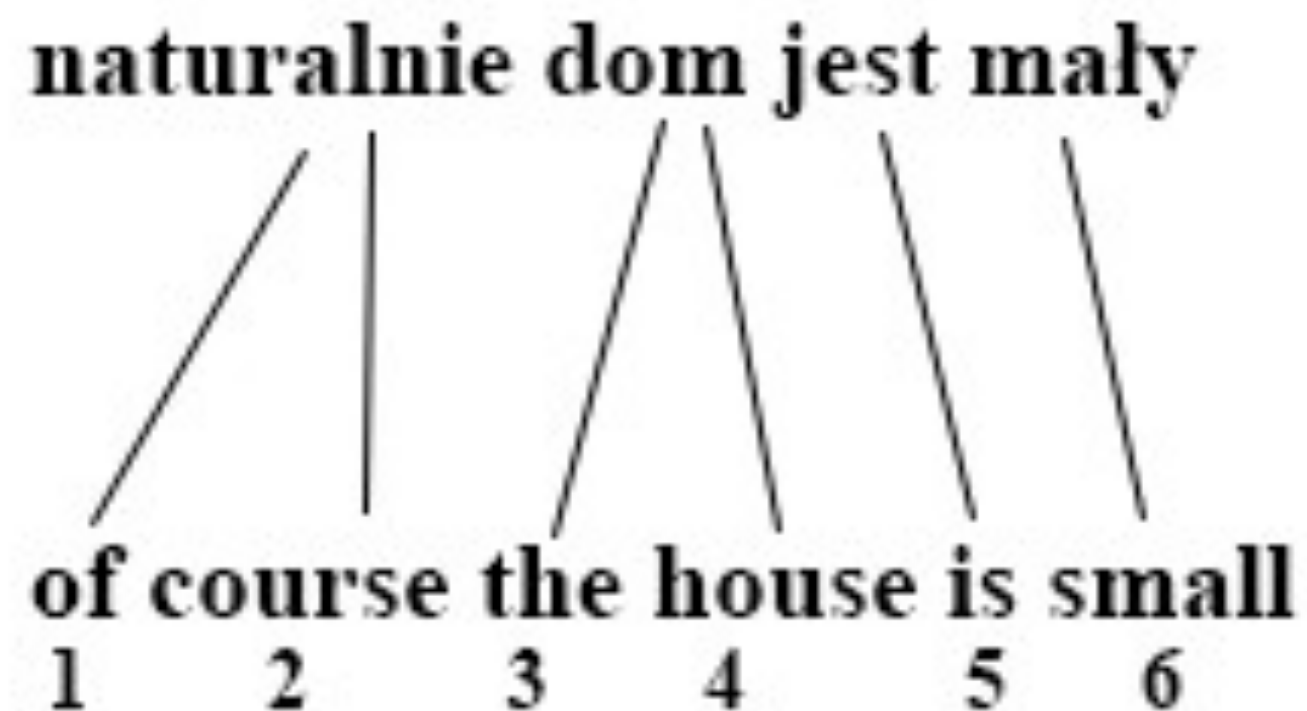
$$\mathbf{a} = (1, 2, 3, 0, 4)^\top$$

Assume extra NULL token

# IBM Model I

- Assume  $p(a_m | m, M^{(s)}, M^{(t)}) = \frac{1}{M^{(t)}}$
- Is this a good assumption?

$$p(\mathcal{A} | \mathbf{w}^{(s)}, \mathbf{w}^{(t)}) = \prod_{m=1}^{M^{(s)}} p(a_m | m, M^{(s)}, M^{(t)}).$$



Every alignment is equally likely!

# IBM Model I

- Assume  $p(a_m | m, M^{(s)}, M^{(t)}) = \frac{1}{M^{(t)}}$
- We then have (for each pair of words in source and target):

$$p(w^{(s)}, w^{(t)}) = p(w^{(t)}) \sum_A \left(\frac{1}{M^{(t)}}\right)^{M^{(s)}} p(w^{(s)} | w^{(t)})$$

- How do we estimate  $p(w^{(s)} = v | w^{(t)} = u)$  ?



# IBM Model I

- If we have word-to-word alignments, we can compute the probabilities using the MLE:
- $$p(v | u) = \frac{\text{count}(u, v)}{\text{count}(u)}$$
- where  $\text{count}(u, v) = \# \text{instances where target word } u \text{ was aligned to source word } v \text{ in the training set}$
- However, word-to-word alignments are often hard to come by

Solution: Unsupervised learning



# Expectation Maximization (advanced)

- **(E-Step)** If we had an accurate translation model, we can estimate likelihood of each alignment as:

$$q_m(a_m \mid \mathbf{w}^{(s)}, \mathbf{w}^{(t)}) \propto p(a_m \mid m, M^{(s)}, M^{(t)}) \times p(w_m^{(s)} \mid w_{a_m}^{(t)}),$$

Remember  
these are  
fixed

- **(M Step)** Use expected count to re-estimate translation parameters:

$$p(v \mid u) = \frac{E_q[\text{count}(u, v)]}{\text{count}(u)}$$

$$E_q[\text{count}(u, v)] = \sum_m q_m(a_m \mid \mathbf{w}^{(s)}, \mathbf{w}^{(t)}) \times \delta(w_m^{(s)} = v) \times \delta(w_{a_m}^{(t)} = u).$$

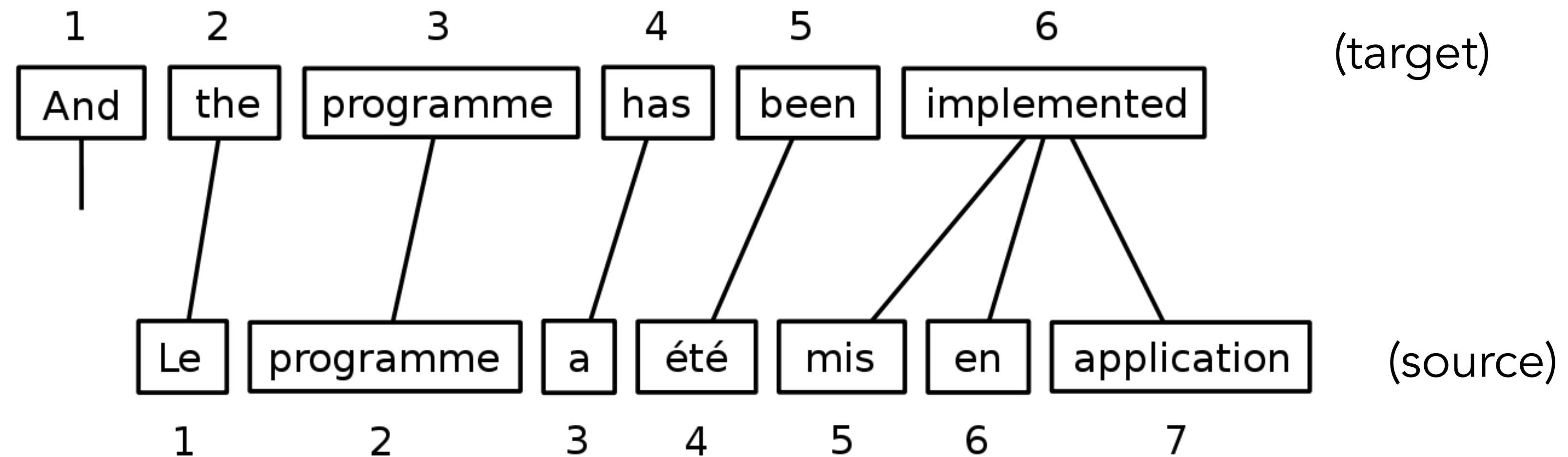
# How do we translate?

- We want:  $\arg \max_{w^{(t)}} p(w^{(t)} | w^{(s)}) = \arg \max_{w^{(t)}} \frac{p(w^{(s)}, w^{(t)})}{p(w^{(s)})}$
- Sum over all possible alignments:

$$\begin{aligned} p(w^{(s)}, w^{(t)}) &= \sum_{\mathcal{A}} p(w^{(s)}, w^{(t)}, \mathcal{A}) \\ &= p(w^{(t)}) \sum_{\mathcal{A}} p(\mathcal{A}) \times p(w^{(s)} | w^{(t)}, \mathcal{A}) \end{aligned}$$

- Alternatively, take the max over alignments
- Decoding: Greedy/beam search

# Model I: Decoding



At every step  $m$ , pick target word  $w_m^{(t)}$  to maximize product of:

1. Language model:  $p_{LM}(w_m^{(t)} | w_{<m}^{(t)})$
2. Translation model:  $p(w_{b_m}^{(s)} | w_m^{(t)})$

where  $b_m$  is the inverse alignment from target to source

# IBM Model I

- Assume  $p(a_m | m, M^{(s)}, M^{(t)}) = \frac{1}{M^{(t)}}$
- Each source word is aligned to at most one target word
- We then have:

$$p(w^{(s)}, w^{(t)}) = p(w^{(t)}) \sum_A \left(\frac{1}{M^{(t)}}\right)^{M^{(s)}} p(w^{(s)} | w^{(t)})$$

Restrictive assumptions

# IBM Model 2

- Slightly relaxed assumption:
  - $p(a_m | m, M^{(s)}, M^{(t)})$  is also estimated/learned, not set to constant
- Some independence assumptions from Model 1 still required:
  - Alignment probability factors across tokens:

$$p(\mathcal{A} | \mathbf{w}^{(s)}, \mathbf{w}^{(t)}) = \prod_{m=1}^{M^{(s)}} p(a_m | m, M^{(s)}, M^{(t)}).$$

- Translation probability factors across tokens:

$$p(\mathbf{w}^{(s)} | \mathbf{w}^{(t)}, \mathcal{A}) = \prod_{m=1}^{M^{(s)}} p(w_m^{(s)} | w_{a_m}^{(t)}),$$

# Other IBM models

Model 1: lexical translation

Model 2: additional absolute alignment model

Model 3: extra fertility model

Model 4: added relative alignment model

Model 5: fixed deficiency problem.

Model 6: Model 4 combined with a [HMM](#) alignment model in a log linear way

- Models 3 - 6 make successively weaker assumptions
  - But get progressively harder to optimize
- Simpler models are often used to 'initialize' complex ones
  - e.g train Model 1 and use it to initialize Model 2 translation parameters



# Phrase-based MT

- Word-by-word translation is not sufficient in many cases

*Nous allons prendre un verre*

(literal)

We will take a glass

(actual)

We'll have a drink

- Solution: build alignments and translation tables between multiword spans or "phrases"

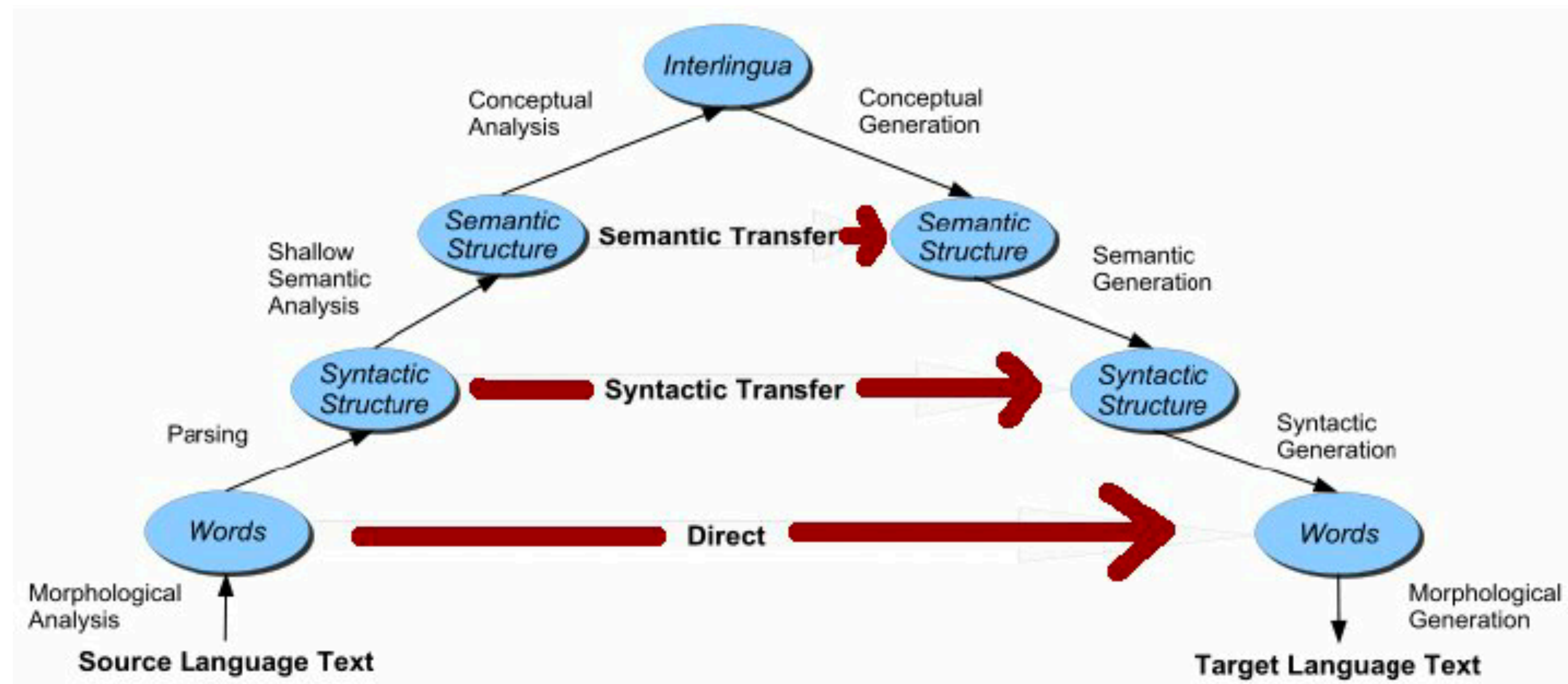
	<i>Nous</i>	<i>allons</i>	<i>prendre</i>	<i>une</i>	<i>verre</i>
We'll					
have					
a					
drink					

# Phrase-based MT

- Solution: build alignments and translation tables between multiword spans or “phrases”
- Translations condition on multi-word units and assign probabilities to multi-word units
- Alignments map from spans to spans

$$p(\mathbf{w}^{(s)} \mid \mathbf{w}^{(t)}, \mathcal{A}) = \prod_{((i,j),(k,\ell)) \in \mathcal{A}} p_{\mathbf{w}^{(s)} \mid \mathbf{w}^{(t)}}(\{w_{i+1}^{(s)}, w_{i+2}^{(s)}, \dots, w_j^{(s)}\} \mid \{w_{k+1}^{(t)}, w_{k+2}^{(t)}, \dots, w_\ell^{(t)}\})$$

# Vauquois Pyramid



- Hierarchy of concepts and distances between them in different languages
- Lowest level: individual words/characters
- Higher levels: syntax, semantics
- Interlingua: Generic language-agnostic representation of meaning



# Syntactic MT

- ▶ Rather than use phrases, use a *synchronous context-free grammar*: constructs “parallel” trees in two languages simultaneously

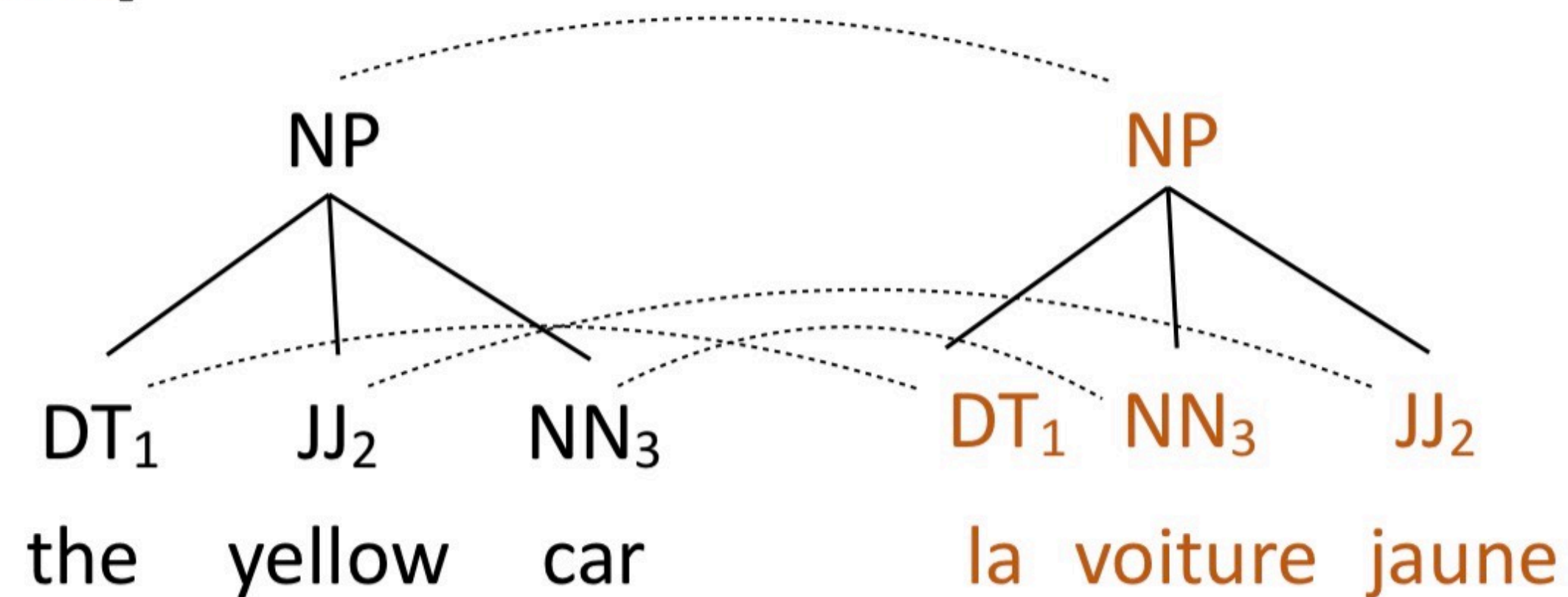
NP  $\rightarrow$  [DT<sub>1</sub> JJ<sub>2</sub> NN<sub>3</sub>; DT<sub>1</sub> NN<sub>3</sub> JJ<sub>2</sub>]

DT  $\rightarrow$  [the, la]

DT  $\rightarrow$  [the, le]

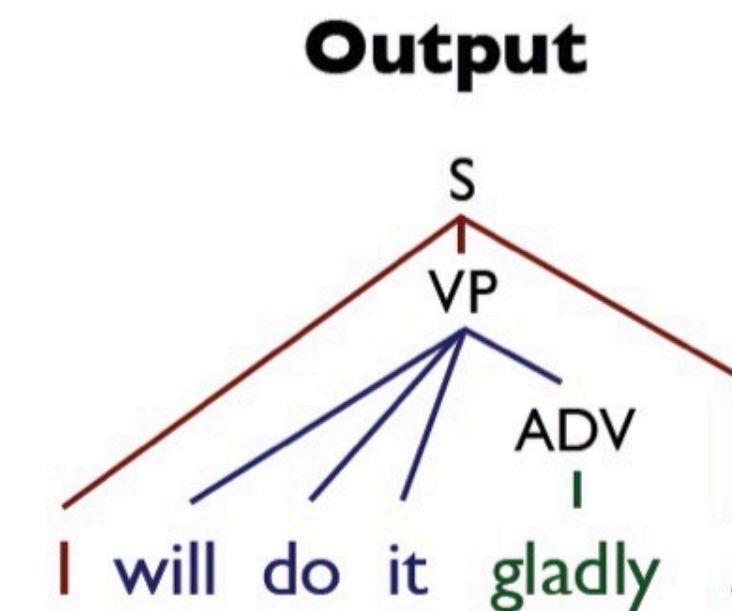
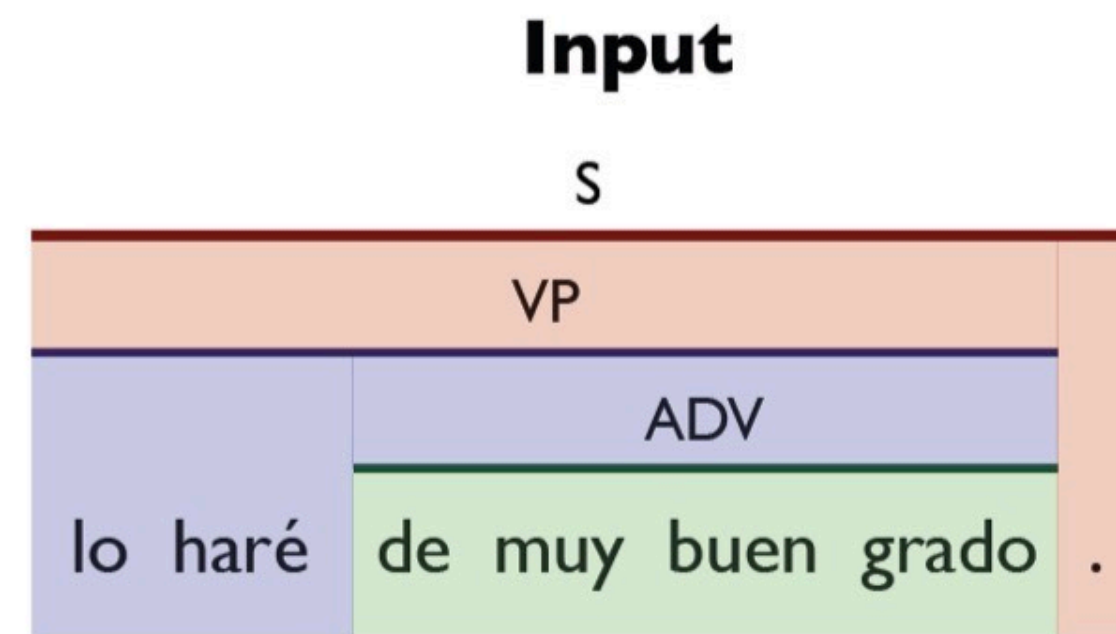
NN  $\rightarrow$  [car, voiture]

JJ  $\rightarrow$  [yellow, jaune]



- ▶ Assumes parallel syntax up to reordering
- ▶ Translation = parse the input with “half” the grammar, read off other half

# Syntactic MT



## Grammar

- ▶ Relax this by using lexicalized rules, like “syntactic phrases”
- ▶ Leads to HUGE grammars, parsing is slow

$S \rightarrow \langle VP . ; I VP . \rangle$  **OR**  $S \rightarrow \langle VP . ; you VP . \rangle$

$VP \rightarrow \langle lo haré ADV ; will do it ADV \rangle$

$s \rightarrow \langle lo haré ADV . ; I will do it ADV . \rangle$

$ADV \rightarrow \langle de muy buen grado ; gladly \rangle$

Slide credit: Dan Klein

Next time: Neural machine translation

